



CA Yash Bansal

*"Strategic professional with expertise in the field of **Internal Auditing**, **Process Auditing** and Cost Reduction / Optimization; targeting assignments in **Internal Auditing** with a leading organization"*

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Key Skills

Internal Audit /Management

Audit Internal Financial

Control

Analytical Ability & Operational Excellence

Process Review & Internal

Control Cost Reduction

Business Acumen

Training & Development

Strategic Planning & Execution

Profile Summary

- **Offering 6 years** of experience in planning and performing complex Internal Audit /Management Audit and Compliance Audits with the objective of assessing compliance with operating policies and procedures, evaluating existing internal controls, and ensuring the use of sound business practices
- Experienced in reviewing Internal Financial Control Framework (IFC)
- Reviewing and testing of SOX control
- Creation and monitoring of RCM (Risk control matrix) for the audit assignment and processes like order to cash, procure to pay.
- Experienced in development and using Data Analytics / Continuous Control Monitoring through Third Party Tool
- Skilled in planning, leading and conducting audits of business units with a focus on Internal Control, Risk Assessment, Business Process Controls, and Compliance with applicable laws, regulations and policies
- Ensured that audit objectives were met while gathering and documenting sufficient evidence to support audit conclusion; communicated written audit results in a timely, comprehensive and clear manner
- An effective communicator with excellent relationship building & interpersonal skills, strong analytical, problem solving and organizational capabilities

Work Experience

July'23 with Paytm Bank. –Senior Manager Internal Audit

Location – Noida

Key Result Areas:

- Leading business audit team, performing continuous monitoring of internal audit
- Overseeing the Compliance management and quality assurance improvement of IA

Mar'22 – Jun'23 with Tata Motors Ltd. –Senior Manager Internal Audit

Location – Mumbai

Key Result Areas:

- Leading team for Plant and marketing audits
- Managing external consultants conducting audit w.r.t. deliverables, quality & timelines reviewing
- Adequacy and effectiveness of the system of Internal Controls
- Identifying opportunity for cost saving / revenue leakage and suggest process improvement

Highlights:

- Awarded Silver medal for the Leadership behavior #owner mindset for executing VME audit.
- Assignment handled – Marketing expenditure, Extended Warranty, Master data management, Gross Contract cost, Government benefit & Production linked schemes, channel partner enlisting, CRM application.
- Assessed the potential loss due to manual incentive calculation leading to excess payment to the channel partners (INR 4.5 Cr) in variable marketing expenditure audit.

Previous Experience

Feb'20 – Feb'22 Bajaj Auto Ltd.– Manager Internal Audit

Location - Pune

Key Result Areas:

- Reviewing the adequacy and effectiveness of the system of Internal Controls
- Probing current areas of value leakages, and proposing process improvements to counter them
- Reviewing Data Analytics Exceptions reports and sending audit queries to the BU's for their response
- Identifying opportunities to enhance effectiveness of Data Analytics framework by suggesting new tools, methodology
- Ensuring to provide the Positive assurance reporting to the BU's and IFC control testing

Highlights:

- Assignment handled – Treasury and Fund management, International Business Marketing expenditure, Forensic audit Quality adherence for Inward material, Dealer investigation, customer complains management, Receipt control for in warding material.

Mar'18 –Feb '20 with JSW STEEL Ltd. – Manager Internal Audit

Location - Mumbai

Key Result Areas:

- Managing audit areas like Procure to Pay, Order to Cash, Payroll, Fixed Asset, Projects as per Risk Based Annual Audit plan
- Plan Contributing in process review, identification of control gaps, suggestion for improvement in the existing processes
- Analyzing various contracts from commercial, compliance, Cost Reduction and control point of view
- Preparing presentation for Audit Committee Meetings under the supervision of senior management Reviewing & validating the Audit Report of external CA Firms working in the company
- Developing and completing various risk-based financial, operational and compliance audit programs under supervision of AVP - Internal Audit
- Completing complex internal audit assignments requiring in-depth understanding of company procedures, business requirement as well as internal Control system

Highlights:

- Conferred with: Employee of the Quarter – Q1 during FY 19-20 for achieving significant cost reduction
- Assignment handled – GST Audit, Procurement of Ferro alloy, Manpower Supply management, Annual maintenance contract of plant
- Achieved large saving by Tax Planning to SCM to avail GST Input Credit which resulted into saving of more than INR 5 Crores
- Assisting in renegotiation of Operation & Maintenance Contract (Manpower Supply) which saved more than INR 12 Crores

Articleship

Apr'15- Mar'18 with GSA and Associates Delhi. Chartered Accountants

- Assisted in the functions of Accounting, Banking Audit, taxation, Income Tax / VAT / Service Tax / TDS Return filing.

Education

Course	Year	Marks (%)	Institute/University/School
CA Final	NOV 2017	55%	ICAI (1 st Attempt)
CA IPCC	May 2014	58%	ICAI (1 st Attempt)
B.com	June 2016	60%	IGNOU
HSC	Mar 2013	75%	M.G.M Sr. Sec School (CBSE Board) Firozabad
SSC	Mar 2011	78%	M.G.M Sr. Sec School (CBSE Board) Firozabad

Personal Details

Date of Birth: 15th Nov 1995
Marital Status: Single
Languages Known: Hindi, English,
Present Address: Sec 78, Noida 201301
Permanent Address: Gunjan Enclav, Firozabad, Agra, Uttar Pradesh 283203